Sheet 1 (A) of 1 U.S. DEPARTMENT OF COMMERCE ATTY, DOCKET NO. SERIAL NO. **SUBSTITUTE FORM PTO-1449** EXPRESS MAIL NO. (MODIFIED) PATENT AND TRADEMARK OFFICE **TAZ-259** EV371410951US INFORMATION DISCLOSURE **APPLICANT** Cerio, Jr., et al. STATEMENT BY APPLICANT **GROUP** FILING DATE (Use several sheets if necessary) (37 CFR 1.98(b)) **Filed Herewith** U.S. PATENT DOCUMENTS FILING DATE ISSUE **EXAMINER** CLASS SUBCLASS PATENT NUMBER DATE PATENTEE INITIAL 7 /RM/ 6 2 8 09/2001 Drewery et al. A.B A.C A.D A.E A.F A.G A.H A.I A.J A.K FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS **TRANSLATION** COUNTRY OR **DOCUMENT PUBLICATION** (YES/NO) **SUBCLASS CLASS** NUMBER DATE PATENT OFFICE A.M A.N A.O A.P A.Q OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication) /RM/ Yasar et al., Patent Application Publication No. US 2003/0034244 A1, Pub. Date February 20, 2003 A.R A.S

EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to applicant.

DATE CONSIDERED

01/03/2008

A.T

/Rodney Mcdonald/

EXAMINER

Substitute Disclosure Form (PTO-1449)

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention

IONIZED PHYSICAL VAPOR DEPOSITION (iPVD) PROCESS

Application Number:

10/811326

Confirmation Number:

9467

First Named Applicant:

Frank Cerio

Attorney Docket Number:

TAZ259

Art Unit:

1753

Examiner:

Steven Versteeg

Search string:

(6444099 or 6642146).pn

<u>Certification:</u> This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

| init | Cite.No. | Patent No. | Date | Patentee | Kind | Class | Subclass |
|------|----------|------------|------------|-----------------|------|-------|----------|
| /RM/ | 1 | 6444099 | 2002-09-03 | Sasaki et al. | | | |
| /RM/ | 2 | 6642146 | 2003-11-04 | Rozbicki et al. | | | |

Remarks

Note: Remarks are not for responding to an office action.

I hereby certify that each item of information contained herein was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. (37 C.F.R. 1.704(d))

Signature

| . | Examiner Name | Date | |
|---|-------------------|------------|--|
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